

3739

PTO/SB/21 (08-03)

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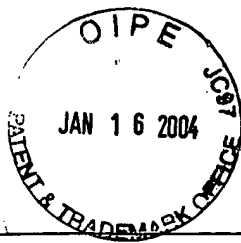
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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number	10/622,801
		Filing Date	July 18, 2003
		First Named Inventor	Amir BELSON
		Art Unit	3739
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	14 pages + 6 refs.	Attorney Docket Number	514812000105

ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement - 3 pages <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Form PTO-1449 + duplicate copy - 10 pages Six (6) references Return receipt postcard
<div>Remarks</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	MORRISON & FOERSTER LLP (Customer No. 25226) Johney U. Han - 45,565
Signature	
Date	January 13, 2004

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below.	
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PATENT
Docket No. 514812000105

CERTIFICATE OF MAILING BY "FIRST CLASS MAIL"

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Patricia M. Ellison

Patricia M. Ellison

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Amir BELSON et al.

Serial No.: 10/622,801

Filing Date: July 18, 2003

For: STEERABLE SEGMENTED
ENDOSCOPE AND METHOD OF
INSERTION

Examiner: Not Yet Assigned

Group Art Unit: 3739

**INFORMATION DISCLOSURE
STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicants submit for consideration in the above-identified application the documents listed on the attached Form PTO-1449. Pursuant to the USPTO notice dated July 11, 2003, waiving the requirement under 37 C.F.R. § 1.98 (a)(2)(i) to provide copies of U.S. Patents and U.S. Published Applications, copies of those references numbered 1, 16, 18, 21, 25, 29, 34, 36, 42, 45, 70, 71, 81, 84, 88, 89, 91, 92, 94 and 95 are not submitted. Copies foreign patents numbered 100 - 103 and copies of non-patent literature references numbered 104 and 106 are submitted herewith. Copies of the remaining documents

cited in the attached Form PTO-1449 were previously submitted in an Information Disclosure Statement and/or Office Action, directed to the related application Serial Number 09/969,927, filed October 2, 2001, (now U.S. Patent No. 6,610,007) and, accordingly, copies are not included herewith. This protocol conforms with 37 C.F.R. § 1.98(d) and M.P.E.P. 609(A)(2). The Examiner is requested to make these documents of record in the application.

This Information Disclosure Statement is submitted:

- ☐ With the application; accordingly, no fee or separate requirements are required.
- ☐ Before the mailing of a first Office Action after the filing of a Request for Continued Examination under § 1.114. However, if applicable, a certification under 37 C.F.R. § 1.97(e)(1) has been provided.
- ☒ Within three months of the application filing date or before mailing of a first Office Action on the merits; accordingly, no fee or separate requirements are required.
- ☐ After receipt of a first Office Action on the merits but before mailing of a final Office Action or Notice of Allowance.
 - ☐ A fee is required. A check in the amount of ___ is enclosed.
 - ☐ A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached to this submission in duplicate.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee is believed to be due.
- ☐ After mailing of a final Office Action or Notice of Allowance, but before payment of the issue fee.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the amount of ___ is enclosed.
 - ☐ A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittal form (PTO/SB/17 is attached to this submission in duplicate.)

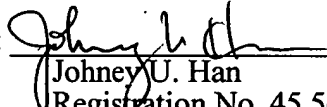
Applicants would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. §1.17(p)) is required, Applicants petition for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing **514812000105**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: January 13, 2004

Respectfully submitted,

By: 
John U. Han
Registration No. 45,565

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Form PTO-1449

Docket Number 514812000105

Application Number 10/622,801

**INFORMATION DISCLOSURE CITATION
IN AN APPLICATION**

(Use several sheets if necessary)

Applicant

Amir BELSON et al.

Filing Date July 18, 2003

Group Art Unit 3739

Mailing Date January 13, 2004

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate
	1.	10/23/1956	2,767,705	Moore			
	2.	10/05/1971	3,610,231	Takahashi et al.			
	3.	06/19/1973	3,739,770	Mori			
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	5.	08/05/1975	3,897,775	Furihata			
	6.	03/30/1976	3,946,727	Okada et al.			
	7.	10/18/1977	4,054,128	Seufert et al.			
	8.	12/04/1979	4,176,662	Frazer			
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	10.	06/16/1981	4,273,111	Tsukaya			
	11.	05/04/1982	4,327,711	Takagi			
	12.	02/19/1985	4,499,895	Takayama			
	13.	03/12/1985	4,503,842	Takayama			
	14.	09/24/1985	4,543,090	McCoy			
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	19.	06/28/1988	4,753,223	Bremer			
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	25.	05/30/1989	4,834,068	Gottesman			
	26.	10/17/1989	4,873,965	Danieli			

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Form PTO-1449		Docket Number 514812000105	Application Number 10/622,801
INFORMATION DISCLOSURE CITATION IN AN APPLICATION (Use several sheets if necessary)		Applicant	
		Amir BELSON et al.	
		Filing Date July 18, 2003	Group Art Unit 3739
		Mailing Date January 13, 2004	

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36.	12/18/1990	4,977,887	Gouda			
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39.	06/30/1992	5,125,395	Adair			
40.	10/27/1992	5,159,446	Hibino et al.			
41.	09/14/1993	5,243,967	Hibino			
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46.	12/06/1994	5,370,108	Miura et al.			
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55.	04/16/1996	5,507,717	Kura et al.			

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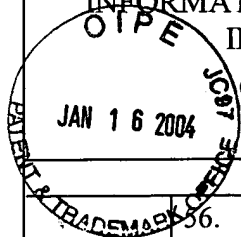
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72.	09/22/1998	5,810,715	Moriyama			
73.	09/22/1998	5,810,716	Mukherjee et al.			
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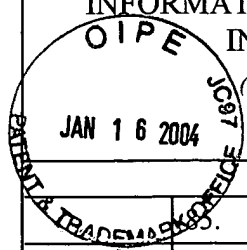
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86.	03/14/2000	6,036,636	Motoki et al.			
87.	05/23/2000	6,066,132	Chen et al.			
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89.	11/21/2000	6,149,581	Klingenstein			
90.	12/19/2000	6,162,171	Ng et al.			
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94.	10/22/2002	6,468,203	Belson			
95.	08/26/2003	6,610,007	Belson et al.			

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	96.	09/22/1988	DE 37 07 787	Germany				
	97.	01/08/1993	JP 5-1999	Japan				
	98.	05/07/1993	JP 111458	Japan				
	99.	06/08/1988	JP 63 136014	Japan				
	100.	10/11/2001	WO 01/74235	WIPO				
	101.	04/10/2003	WO 03/028547	WIPO				
	102.	09/12/2003	WO 03/073920	WIPO				
	103.	11/13/2003	WO 03/092476	WIPO				

OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	104.	Hasson, H.M. (May, 1979). "Technique of Open Laparoscopy: Equipment and Technique (from step 1 to step 9)," 2424 North Clark Street, Chicago, Illinois 60614, 3 pages.

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JAN 16 2004

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Applicant

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| 105. | Lee, T.S. et al. (1994). "A Highly Redundant Robot System For Inspection," <i>Proceedings of Conference on Intelligent Robots in Factory, Fields, Space and Service</i> . Houston, TX March 21-24 1994 Part Vol. 1:142-148. |
| 106. | McKernan. "History: Laparoscopic General Surgery: From 1983 to April 11, 1989," 4 pages. |
| 107. | Slatkin et al. (August 1995). "The Development of a Robotic Endoscope," <i>Proceedings 1995 IEEE/RSJ International Conference on Intelligent Robots and Systems: Human Robot Interaction and Cooperative Robots</i> , Pittsburgh, PA, Aug. 5-9, 1995, Proceeding of the IEEE/RSJ International Conference on Intelligent Robot Syst. 2:162-171. |

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